

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2021

**STOCK CORPORATION**



**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> ALPHA INSURANCE & SURETY COMPANY, INC.		<b>DATE REGISTERED:</b> 4/13/1957	
<b>BUSINESS/TRADE NAME:</b> ALPHA INSURANCE & SURETY COMPANY, INC.		<b>FISCAL YEAR END:</b> December 31	
<b>SEC REGISTRATION NUMBER:</b> 12547		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 000-413-024-000	
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> every second Saturday of January each year		<b>WEBSITE/URL ADDRESS:</b> <a href="http://www.alphainsurance.com.ph">www.alphainsurance.com.ph</a>	
<b>ACTUAL DATE OF ANNUAL MEETING:</b> 9-Feb-21		<b>E-MAIL ADDRESS:</b> <a href="mailto:alpha@alphainsurance.com.ph">alpha@alphainsurance.com.ph</a>	
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> Alpha Insurance Centre, 1025 San Marcelino Street, Ermita, Manila		<b>FAX NUMBER:</b> 8404-0320/8522-6131	
<b>COMPLETE BUSINESS ADDRESS:</b> Alpha Insurance Centre, 1025 San Marcelino Street, Ermita, Manila	<b>OFFICIAL E-MAIL ADDRESS:</b> <a href="mailto:secofficial@alphainsurance.com.ph">secofficial@alphainsurance.com.ph</a>	<b>ALTERNATE E-MAIL ADDRESS:</b> <a href="mailto:legal@alphainsurance.com.ph">legal@alphainsurance.com.ph</a>	<b>OFFICIAL MOBILE NUMBER:</b> 0917-3128189
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> I. T. SABADO & ASSOCIATES		<b>SEC ACCREDITATION NUMBER (if applicable):</b>	<b>ALTERNATE MOBILE NUMBER:</b> 0922-8894717
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> Non-Life Insurance		<b>INDUSTRY CLASSIFICATION:</b> Insurance/Services	<b>TELEPHONE NUMBER(S):</b> 8525-1301 connecting all departments
<b>GEOGRAPHICAL CODE:</b>			

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

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Corporate Name: ALPHA INSURANCE & SURETY COMPANY, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)  Yes  No

Please check the appropriate box:

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>		
<p><b>2.</b></p> <p><input checked="" type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>		
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>		
<p><b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b></p>	<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p> <p><b>8.</b> <input type="checkbox"/> None of the above</p> <table border="1" data-bbox="909 1637 1559 1760"> <tr> <td data-bbox="909 1637 1037 1760">Describe nature of business:</td> <td data-bbox="1037 1637 1559 1760">Non-Life Insurance</td> </tr> </table> <p><input checked="" type="radio"/> Yes <input type="radio"/> No</p>	Describe nature of business:	Non-Life Insurance
Describe nature of business:	Non-Life Insurance		

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** ALPHA INSURANCE & SURETY COMPANY, INC.

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	9,000,000	100	900,000,000.00
	Preferred	1,000,000	100	100,000,000.00
<b>TOTAL</b>		<b>10,000,000</b>	<b>TOTAL P</b>	<b>1,000,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	18	Common	7,999,795		100.00	799,979,500.00	88.89
	4	Preferred	1,000,000		100.00	100,000,000.00	11.11
<b>TOTAL</b>			<b>8,999,795</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>899,979,500.00</b>	<b>100.00</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
<b>Percentage of Foreign Equity:</b>			<b>TOTAL</b>	<b>TOTAL</b>	<b>TOTAL P</b>		
				<b>TOTAL SUBSCRIBED P</b>			

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	18	Common	6,758,000	100.00	675,800,000.00	87.11
	4	Preferred	1,000,000	100.00	100,000,000.00	12.89
<b>TOTAL</b>			<b>7,758,000</b>	<b>TOTAL P</b>	<b>775,800,000.00</b>	<b>100.00</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
<b>TOTAL</b>				<b>TOTAL P</b>	<b>775,800,000.00</b>	
			<b>TOTAL PAID-UP P</b>			

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

<b>CORPORATE NAME:</b> ALPHA INSURANCE & SURETY COMPANY, INC.								
<b>DIRECTORS / OFFICERS</b>								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. <b>ATTY. OSCAR M. ORBOS</b> 34 Monteverde Homes, Xavier Street, Greenhills, San Juan City	Filipino	N	C	M	Y		C/M A/M N/C	100-568-539
2. <b>JOSE H. REMO, JR.</b> 7 Dahlia Street, Mapayapa Village II, Brgy. Holy Spirit, Quezon City	Filipino	N	M	M	Y	Managing Director & Treasurer	C/C A/M N/M	104-587-718
3. <b>EDMUNDO H. REMO</b> 20 Vietnam Street, Betterliving Subdivision, Parañaque City	Filipino	N	M	M	Y	President	C/M A/M N/M	176-478-888
4. <b>PETER JASON M. REMO</b> (Rep. PHH Holdings, Inc.) Rm. 302 Alpha Insurance Centre, 1025 San Marcelino Street, Ermita, Manila	Filipino	N	M	M	Y		C/M A/M N/M	242-411-434-000
5. <b>JOSE D. ACUYONG, JR.</b> Unit 805, City Tower Condominium, 810 Aurora Boulevard, Cubao, Quezon City	Filipino	N	M	M	Y			105-713-630
6. <b>ROMEO J. BERNABE</b> Unit 28, Potential Townhouse, 150 15th Avenue, Cubao, Quezon City	Filipino	N	M	M	Y	Executive Vice President	C/M A/C N/M	127-391-683
7. <b>ATTY. GEMINIANO G. GALICIA, JR.</b> 81-A Saudi Arabia Street, Betterliving Subdivision, Parañaque City	Filipino	N	M	M	Y	Corporate Secretary/ VP-Legal		104-587-638
8. <b>JEANETTE P. MALALUAN</b> 114 Phuket Royal Palm Residences, Ususan, Taguig City	Filipino	N	I	F	Y			198-603-510
9. <b>CARLOS H. YTURZAETA</b> 1108-D, Don Pedro Street, Malate, Manila	Filipino	N	I	M	Y			120-131-038
10. <b>JULY C. BERMUDEZ</b> 58 Santiago Street, San Francisco Del Monte, Quezon City	Filipino	N		M	N	Senior VP - Marketing		104-587-495
11. <b>MACARIO P. CORPUZ</b> 222 Joshua Street, Annex 41, Brgy. Sun Valley, Parañaque City	Filipino	N		M	N	Senior VP - Undtg.		106-921-452
12. <b>PASCUAL C. CARBERO</b> 4302 Asterville Subdivision, Ge. T. De Leon, Valenzuela City	Filipino	N		M	N	SVP - Bonds & Reinsurance		101-731-484
13. <b>DANIEL A. SOAN</b> 12-1 Nancy Street, BF Almanza Dos, Las Piñas City	Filipino	N		M	N	Vice President - Marketing		104-150-209
14. <b>LILIBETH B. BAUTISTA</b> Lot 10 Blk. 1, Villa Lasqueta C. Bago II, Imus, Cavite	Filipino	N		F	N	Vice President - Claims		101-773-693
15. <b>RODELIO M. REVECHE</b> B3A, L4 & 5, San Isidro Homes, Brgy. San Isidro, Cabuyao, Laguna	Filipino	N		M	N	VP-Product Development/ Undtg.		107-767-211
<b>INSTRUCTION:</b>								
FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.								
FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.								
FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.								
FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.								
FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.								
FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE, "A" FOR AUDIT COMMITTEE, "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

\*\*\*\*\* PLEASE PRINT LEGIBLY \*\*\*\*\*

<b>CORPORATE NAME:</b>	<b>ALPHA INSURANCE &amp; SURETY COMPANY, INC.</b>	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>	<b>EIGHTEEN (18)</b>	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARE:</b> <b>EIGHTEEN (18)</b>
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>	<b>Php2,311,129,180.00</b>	

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1 <b>JOSE H. REMO, JR.</b> Filipino 7 Dahlia Street, Mapayapa Village II, Brgy. Holy Spirit, Quezon City	Common	2,455,702.6	245,570,260.00	37.86%	293,734,300.00	104-587-718
	Preferred	481,640.4	48,164,040.00			
	<b>TOTAL</b>	<b>2,937,343</b>	<b>293,734,300.00</b>			
2. <b>EDMUNDO H. REMO</b> Filipino 20 Vietnam Street, Betterliving Subdivision, Parañaque City	Common	1,574,203.4	157,420,340.00	22.48%	174,375,300.00	176-478-888
	Preferred	169,549.6	16,954,960.00			
	<b>TOTAL</b>	<b>1,743,753</b>	<b>174,375,300.00</b>			
3. <b>PETER JASON M. REMO (Rep. JHR Holdings, Inc.)</b> Filipino Room 302, Alpha Insurance Centre, 1025 San Marcelino Street, Ermita, Manila	Common	597,463	59,746,300.00	12.19%	94,577,300.00	242-411-434-000
	Preferred	348,310	34,831,000.00			
	<b>TOTAL</b>	<b>945,773</b>	<b>94,577,300.00</b>			
4. <b>JOSE D. ACUYONG, JR.</b> Filipino Unit 805, City Tower Condominium, B10 Aurora Boulevard, Cubao, Quezon City	Common	782,974	78,297,400.00	10.09%	78,297,400.00	105-713-630
	<b>TOTAL</b>	<b>782,974</b>	<b>78,297,400.00</b>			
5. <b>RENATO R. ESTRADA</b> Filipino B20 L28 Goodwill Home I, San Bartolome, Novaliches, Quezon City	Common	334,365	33,436,500.00	4.31%	33,436,500.00	134-452-732
	<b>TOTAL</b>	<b>334,365</b>	<b>33,436,500.00</b>			
6. <b>MIRAFLOR C. GARCIA</b> Filipino Room 201, 650 RE Building, Quezon Avenue, Quezon City	Common	329,753	32,975,300.00	4.25%	32,975,300.00	163-030-757
	<b>TOTAL</b>	<b>329,753</b>	<b>32,975,300.00</b>			
7. <b>MARTINA B. RICO</b> Filipino Unit 110, Bali Oasis Condominium Building 1, Brgy. Santolan, Pasig City	Common	232,303	23,230,300.00	2.99%	23,230,300.00	135-787-109
	<b>TOTAL</b>	<b>232,303</b>	<b>23,230,300.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>94.17%</b>	<b>730,626,400.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

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<b>CORPORATE NAME:</b>		<b>ALPHA INSURANCE &amp; SURETY COMPANY, INC.</b>				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		<b>EIGHTEEN (18)</b>		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		<b>EIGHTEEN (18)</b>
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		<b>Php2,311,129,180.00</b>				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNERSHIP		
8. <b>JOANNA V. REMO</b> Filipino 9 Everlasting Street, Mapayapa Village II, Brgy. Holy Spirit, Quezon City	Common	166,725	16,672,500.00	2.15%	16,672,500.00	120-353-428
	<b>TOTAL</b>	<b>166,725</b>	<b>16,672,500.00</b>			
9. <b>CHRISTOPHER C. QUIMSON</b> Filipino Blk 5 Lot 3, Rainbow Avenue II, Novaliches, Quezon City	Common	100,000	10,000,000.00	1.28%	10,000,000.00	252-692-617
	<b>TOTAL</b>	<b>100,000</b>	<b>10,000,000.00</b>			
10. <b>MA. CELESTE A. DIMACULANGAN</b> Filipino Brgy. 4, Mataas Na Kahoy, Batangas	Common	83,439	8,343,900.00	1.08%	8,343,900.00	925-705-887
	<b>TOTAL</b>	<b>83,439</b>	<b>8,343,900.00</b>			
11. <b>ERNESTO THADEO L. CERESO</b> Filipino 1504-C Ponte Street, Lapaz Village, Brgy. Singkamas, Makati City	Common	56,583	5,658,300.00	0.73%	5,658,300.00	104-587-573
	<b>TOTAL</b>	<b>56,583</b>	<b>5,658,300.00</b>			
12. <b>DONNA HONEYLET R. WONG</b> Filipino 20 Vietnam Street, Betterliving Subdivision, Parañaque City	Common	20,000	2,000,000.00	0.26%	2,000,000.00	203-838-396
	<b>TOTAL</b>	<b>20,000</b>	<b>2,000,000.00</b>			
13. <b>MYLA MARTHA M. CINCO</b> Filipino 228 Calle Madrid, Bunio, Bocaue, Bulacan	Common	19,927	1,992,700.00	0.26%	1,992,700.00	182-782-784
	<b>TOTAL</b>	<b>19,927</b>	<b>1,992,700.00</b>			
14. <b>ATTY. OSCAR M. ORBOS</b> Filipino 34 Monteverde Homes, Xavier Street, Greenhills, San Juan City	Common	4,558	455,800.00	0.07%	505,800.00	100-568-539
	Preferred	500	50,000.00			
	<b>TOTAL</b>	<b>5,058</b>	<b>505,800.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>5.83%</b>	<b>45,173,200.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

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STOCK CORPORATION

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<b>CORPORATE NAME:</b>		ALPHA INSURANCE & SURETY COMPANY, INC.				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		EIGHTEEN (18)		<b>NO. OF STOCKHOLDERS WITH 10% OR MORE SHARES EACH:</b>		EIGHTEEN (18)
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		Php2,311,129,180.00				
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
15. <b>ROMEO J. BERNABE</b> Filipino Unit 28, Potential Townhouse, 150 15th Avenue, Cebu, Quezon City	Common	1	100.00	0.0%	100.00	127-391-683
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
16. <b>ATTY. GEMINIANO G. GALICIA, JR.</b> Filipino 81- A Saudi Arabia Street, Betterliving Subdivision, Paranaque City	Common	1	100.00	0.0%	100.00	104-587-638
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
17. <b>CARLOS H. VTURZAETA</b> Filipino 1100- D, Don Pedro Street, Malate, Manila	Common	1	100.00	0.0%	100.00	120-131-038
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
18. <b>JEANETTE P. MALALUAN</b> Filipino 114 Phuket Royal Palm Residence, Unsan, Taguig City	Common	1	100.00	0.0%	100.00	198-603-510
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
19.						
	<b>TOTAL</b>					
20.						
	<b>TOTAL</b>					
21. <b>OTHERS (Indicate the number of the remaining stockholders)</b>						
	<b>TOTAL</b>					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				0.00%	<b>400.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>400.00</b>	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						



**GENERAL INFORMATION SHEET**

STOCK CORPORATION

PLEASE PRINT LEGIBLY			
<b>CORPORATE NAME:</b>			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS	7,360,049.00		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS	401,078,000.00		
1.5 OTHERS			
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)</b>	<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>	
<b>3. TREASURY SHARES</b>	<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>	
<b>4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY			
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
2-Mar-20	5,000	500,000.00	
22-Oct-20	140,500	14,050,000.00	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>B S P</b>	<b>I C</b>
<b>TYPE OF LICENSE/REGN.</b>			Certificate of Authority
<b>DATE ISSUED:</b>			January 01, 2019
<b>DATE STARTED OPERATIONS:</b>			
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>
Php2.4Million	104	273	377

NOTE: USE ADDITIONAL SHEET IF NECESSARY



**BENEFICIAL OWNERSHIP DECLARATION  
FOR THE YEAR: 2021**

SEC REGISTRATION NUMBER:

CORPORATE NAME:

**Instructions:**

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

**Category**

**Description**

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Remo, Jose Hari Jr.	7 Dehila Street, Mapayapa Village II, Ilrig, Holy Spirit, Quakran City	Filipino	March 06, 1947	104-587-718	37.86%	Vice Chairman/ Managing Director & Treasurer	A, B, C, D, E, F, H, I
Remo, Edmundo Hari	20 Vietnam Street, Bensenliving Subdivision, Parañaque City	Filipino	December 03, 1949	176-478-888	22.48%	President/ Director	B, C, D, E, F, H, I

Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.